

## **IFFO RS**Global Standard for Responsible Supply of Marine Ingredients



### **IFFO RS Limited**

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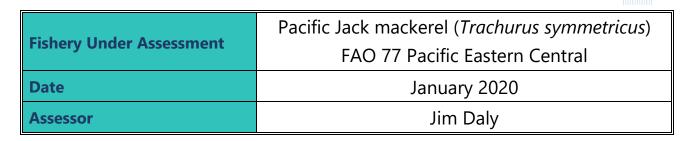


Global Standard for Responsible Supply of Marine Ingredients
Fishery Assessment

Methodology and Template Report V2.0



# **IFFO RS**Global Standard for Responsible Supply of Marine Ingredients



Application details and summary of the assessment outcome						
Name: Sardinas d	Name: Sardinas de Sonora					
Address:						
<b>Country: Mexico</b>		Zip:				
Tel. No.:		Fax. No.:	Fax. No.:			
Email address:		Applicant Code:				
Key Contact:		Title:				
<b>Certification Body</b>	Details					
Name of Certificat	tion Body:	SAI Global Ltd				
Assessor	Peer Reviewer	Assessment	Initial/Surveillance/	Whole fish/		
Assessor		Days	Re-approval	By-product		
Jim Daly	Vito Romito	0.5	SURV 2	By-product		
Assessment	2019					
Period	2019					

Scope Details				
Management Authority	Secretariat of Agriculture, Livestock, Rural Development			
(Country/State)	Fisheries and Food SAGARP	Fisheries and Food <b>SAGARPA</b>		
Main Species	Pacific Jack mackerel ( <i>Trachu</i>	ırus symmetricus)		
Stock:	FAO 77			
Fishery Location	Pacific Eastern Central			
Gear Type(s)	Purse Seine			
Outcome of Assessment				
Overall Outcomes:	Outcome	Clause(s) failed		
Pacific Jack mackerel (Trachurus symmetricus)	PASS	NONE		
Peer Review Evaluation	AGREE			
Recommendation	APPROVE			

### **Assessment Determination**

If any species is categorised as Endangered or Critically Endangered on the IUCN Red List, or if it appears in the CITES appendices, it cannot be approved for use as IFFO RS raw material. Pacific Jack mackerel (*Trachurus symmetricus*) does not appear as Endangered or Critically Endangered on the IUCN Red List, nor does it appear in the CITES appendices; therefore, Pacific Jack mackerel (*Trachurus symmetricus*) is eligible for approval for use as IFFO- RS raw material.

The species is not subject to a species-specific research and management regime sufficient to pass a Category C assessment.

The comparative lack of scientific information on the status of the population in the assessment area means that a risk-assessment style approach must be taken. The fishery was assessed using the risk-based Productivity, Susceptibility Analysis (PSA) as per IFFO-RS v 2.0 procedures for Category D species. The species has passed this risk-based assessment (Table D3).

Pacific Jack mackerel (*Trachurus symmetricus*) is approved by the SAI Global assessment team for the production of fishmeal and fish oil under the IFFO-RS v 2.0 by-products standard.

### **Peer Review Comments**

The Peer Reviewer agrees with the assessment and results of the PSA used as per IFFO-RS v 2.0 procedures for Category D species, and that Pacific Jack Mackerel should be approved for the production of fishmeal and fish oil under the IFFO-RS v 2.0 by-products standard.

### **Notes for On-site Auditor**

### HOW TO COMPLETE THIS ASSESSMENT REPORT

### By-products

The process for completing the template for **by-product raw material** is as follows:

- 1. ALL ASSESSMENTS: Complete the Species Characterisation table with the names of the by-product species and stocks under assessment. The '% landings' column can be left empty; all by-products are considered as Category C and D.
- 2. IF THERE ARE CATEGORY C BYPRODUCTS UNDER ASSESSMENT: Complete clause C1 for **each** Category C by-product.
- 3. IF THERE ARE CATEGORY D BYPRODUCTS UNDER ASSESSMENT: Complete Section D.
- 4. ALL OTHER SECTIONS CAN BE DELETED. Clauses M1 M3, F1 F3, and Sections A and B do not need to be completed for a by-product assessment.

By-product approval is awarded on a species-by-species basis. Each by-product species scoring a pass under the appropriate section may be approved against the IFFO RS Standard.

### SPECIES CATEGORISATION

The following table should be completed as fully as the available information permits. Any species representing more than 0.1% of the annual catch should be listed, along with an estimate of the proportion of the catch each species represents. The species should then be divided into Type 1 and Type 2 as follows:

- **Type 1 Species** can be considered the 'target' or 'main' species in the fishery. They make up the bulk of annual landings and are subjected to a detailed assessment.
- **Type 2 Species** can be considered the 'bycatch' or 'minor' species in the fishery. They make up a small proportion of the annual landings and are subjected to relatively high-level assessment.

### Type 1 Species must represent 95% of the total annual catch. Type 2 Species may represent a maximum of 5% of the annual catch (see Appendix B).

Species which make up less than 0.1% of landings do not need to be listed (NOTE: ETP species are considered separately). The table should be extended if more space is needed. Discarded species should be included when known.

The 'stock' column should be used to differentiate when there are multiple biological or management stocks of one species captured by the fishery. The 'management' column should be used to indicate whether there is an adequate management regime specifically aimed at the individual species/stock. In some cases, it will be immediately clear whether there is a species-specific management regime in place (for example, if there is an annual TAC). In less clear circumstances, the rule of thumb should be that if the species meets the minimum requirements of clauses A1-A4, an adequate species-specific management regime is in place.

NOTE: If any species is categorised as Endangered or Critically Endangered on the IUCN Red List, or if it appears in the CITES appendices, it **cannot** be approved for use as an IFFO RS raw material. This applied to whole fish as well as by-products.

### **TYPE 1 SPECIES (Representing 95% of the catch or more)**

**Category A:** Species-specific management regime in place. **Category B:** No species-specific management regime in place.

### **TYPE 2 SPECIES (Representing 5% OF THE CATCH OR LESS)**

**Category C:** Species-specific management regime in place. **Category D:** No species-specific management regime in place.

Common name	Latin name	Stock	% of landings	Management	Category
Pacific Jack		FAO 77	N/A	Secretariat of Agriculture,	D
mackerel	symmetricus			Livestock, Rural Development, Fisheries and Food ( <b>SAGARPA</b> ).	

### CATEGORY D SPECIES

In a whole fish assessment, Category D species are those which make up less than 5% of landings and are not subject to a species-specific management regime. In the case of mixed trawl fisheries, Category D species may make up the majority of landings. In a by-product assessment, Category D species are those which are not subject to a species-specific management regime. In both cases, the comparative lack of scientific information on the status of the population of the species means that a risk-assessment style approach must be taken.

The process for assessing Category D species involves the use of a Productivity-Susceptibility Analysis (PSA) to further subdivide the species into 'Critical Risk', 'Major Risk' and 'Minor Risk' groups. If there are no Category D species in the fishery under assessment, this section can be deleted.

Productivity and susceptibility ratings are calculated using a process derived from the APFIC document "Regional Guidelines for the Management of Tropical Trawl Fisheries, which in turn was derived from papers by Patrick *et al* (2009) and Hobday *et al* (2007). Table D1 should be completed for each Category D species as follows:

- Firstly, the best available information should be used to fill in values for each productivity and susceptibility attribute.
- Table D2 should be used to convert each attribute value into a score between 1 and 3.
- The average score for productivity attributes and the average for susceptibility attributes should be calculated.
- Table D3 should be used to determine whether the species is required to meet the requirements of Table D4. A species which does not need to meet the requirements of D4 is automatically awarded a pass.
- Table D4 should be used to assess those species indicated by Table D3 to determine a pass/fail rating.
- Any Category D species which has been categorised by the IUCN Red List as Endangered or Critically Endangered, or which appears in the CITES appendices, automatically results in a fail.

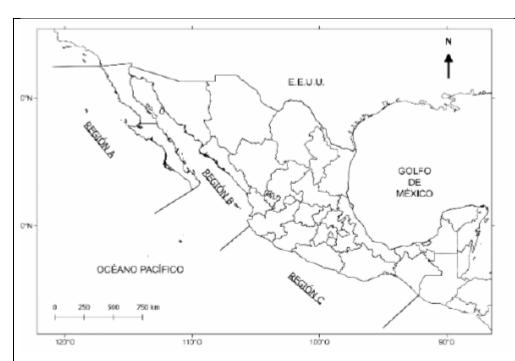
1	Species Name: Pacific Jack mackerel Trachurus symmetricus					
	<b>Productivity Attribute</b>	Productivity Attribute  Average age at maturity (years)*				
	Average age at maturity (					
	Average maximum age (y	ears)*	4.1	1		
•	Fecundity (eggs/spawning	g) *	>10,000	1		
	Average maximum size (c	m)	55	1		
	Average size at maturity (	cm)*	30.9	2		
	Reproductive strategy		Spawners	1		
	Mean trophic level		3.6	3		
		Average Pro	ductivity Score	1.43		
	<b>Susceptibility Attribute</b>	Value	Score			
	Overlap of adult species r	No data	-			
	Distribution		Throughout	1		
	Habitat		N/A	-		
	Depth range		0-400 m	1		
	Selectivity	>2 times	3			
			mesh	3		
	Post-capture mortality		Short tows	2		
	Average Susceptibility Score			1.75		
	PSA Risk Rating (From Table D3)			PASS		

### **Evidence:**

### \*Life history data **D2**

Pacific Jack mackerel is passively managed in the fishery (**Figure 1**). The control rule determines that the Biologically Acceptable Catch (BAC) is 25% of the most recent estimate of SSB. During the 2016-17 targeted fishery for Thread herring a total of 245.75t Pacific jack mackerel were caught, equivalent to 1.67% by volume of total catches of small pelagics for this targeted fishery.

While evidence has shown this species is subject to a management regime current reference points (e.g. BAC) for the species in the assessment area were not available. The comparative lack of scientific information on the status of the population in the assessment area means that a risk-assessment style approach must be taken. The species has passed this risk-based assessment (**Table D3**).



**Figure 1:** Operating regions for the Mexican small pelagic fleet: Region A: Baja California Region B Gulf and Coastal States Sinaloa-Nayarit Region C North of Jalisco to Chiapas (Border with Guatemala). **D1** 

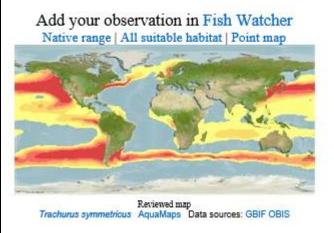


Figure 2: Pacific Jack Mackerel global distribution D2

#### Life History Data on Trachurus symmetricus Pacific jack mackerel Family: Carangidae Jacks and pompanos Max. length (Lmax): 81.0 cm TL L infinity (Linf): = 55.7 cm TL 🗸 Recalculate Recalculate ø' = 3.33 0.69 /year K: Growth & Median Ø' value with related Linf. and K. mortality data Estimated from Linf and K. to: 0.92 s.e. 0.60 - 1.39 /year Natural Recalculate mortality (M): Estimated from Linf., K and annual mean temp. = 17.8 Life span 4.1 years Estimated from Linf., K and to. Max. age & size data (approx.): Generation 1.5 vears Estimated from Lopt, Linf., K and to. Age at first 1.0 vears Estimated from Lm, Linf., K and to. maturity (tm): s.e. 23.1 - 41.4 30.9 cm TL L maturity (Lm): Maturity data Estimated from Linf. 38.6 - n.a. s.e. n.a. cm TL L max. yield (Lopt): Estimated from Linf., K and M. Recalculate ✓ => 1783.0 g (wet weight) 55.7 cm Length-weight: Length-weight \* L ^ 3.21200 W = 0.0044data => whole-body nitrogen (N) (g) Nitrogen & Weight 1783 Recalculate => whole-body crude protein (g) 312.3 Reproductive nonguarders: open water/substratum egg scatterers Reproduction Fecundity: [ no value (min.)-53,000 ] Estimated as geometric mean. Fecundity Estimate Y'/R from M/K, Lc/Linf and E. Relative Yield Lc= 22.3 E= 0.50 cm TL /year 0.0522 per Recruit Recalculate Emsy 0.60 Eopt 0.54 /year /year (Y'/R): Fmsy 1.38 /year Fopt 1.08 /year 7= Estimate Z, F, E from Lc, Lmean, Linf, K, M cm TL Exploitation: Lc = 22.3 Recalculate cm TL Lmean = High; decline threshold 0.99 Resilience / Vulnerable to extinction if decline in biomass or numbers exceeds threshold over productivity: the longer of 10 years or 3 generations. Lr = 22.3 cm TL Intrinsic rate of 2.76 Recalculate Estimated from Fmsy at Lc = length of recruitment increase (rm): /year (Lr). Main food: mainly animals (troph. 2.8 and up) Trophic level: 3.6 +/- s.e. 0.20 Estimated from diet data. Diet

Figure 3: Life history data Pacific Jack mackerel D2

### **References:**

**D1** NORMA Oficial Mexicana NOM-003-SAG/PESC-2018 <a href="http://brioagropecuario.com/wp-content/uploads/2019/03/2019\_03\_12\_MAT\_sader.pdf">http://brioagropecuario.com/wp-content/uploads/2019/03/2019\_03\_12\_MAT\_sader.pdf</a>

### **D2** FishBase Pacific Jack Mackerel:

https://www.fishbase.in/Summary/SpeciesSummary.php?ID=368&AT=PACIFIC+JACK+MACKEREL

D3 Fishsource Pacific Jack Mackerel: <a href="https://www.fishsource.org/stock">https://www.fishsource.org/stock</a> page/1526

Standard clauses 1.3.2.2

Table D2 - Productivity / Susceptibility attributes and scores.

Productivity attributes	Low productivity/ High risk	Medium productivity/ Medium risk	High productivity/ Low risk Score 1	
	Score 3	Score 2		
Average age at maturity (years)	>4	2 to 4	<2	
Average maximum age (years)	>30	10 to 30	<10	
Fecundity (eggs/spawning)	<1 000	1 000 to 10 000	>10 000	
Average maximum size (cm)	>150	60 to 150	<60	
Average size at maturity (cm)	>150	30 to 150	<30	
Reproductive strategy	Live bearer, mouth brooder or significant parental investment	Demersal spawner "berried"	Broadcast spawner	
Mean trophic level	>3.25	2.5-3.25	<2.5	

Susceptibility attributes		High susceptibility/ High risk	Medium susceptibility/ Medium risk	Low susceptibility/ Low risk Score 1	
		Score 3	Score 2		
Availability	Overlap of adult species range with fishery	>50% of stock occurs in the area fished	Between 25% and 50% of the stock occurs in the area fished	<25% of stock occurs in the area fished	
	2) Distribution	Only in the country/ fishery	Limited range in the region	Throughout region/ global distribution	
Encounterability	1) Habitat	Habitat preference of species make it highly likely to encounter trawl gear (e.g. demersal, muddy/sandy bottom)	Habitat preference of species make it moderately likely to encounter trawl gear (e.g. rocky bottom/reefs)	Depth or distribution of species make it unlikely to encounter trawl gear (e.g. epi-pelagic or meso-pelagic)	
	2) Depth range	High overlap with trawl fishing gear (20 to 60 m depth)	Medium overlap with trawl fishing gear (10 to 20 m depth)	Low overlap with trawl fishing gear (0 to 10 m, >70 m depth)	
Selectivity		Species >2 times mesh size or up to 4 m length	Species 1 to 2 times mesh size or 4 to 5 m length	Species <mesh or<br="" size="">&gt;5 m length</mesh>	
Post capture mortality		Most dead or retained Trawl tow >3 hours	Alive after net hauled Trawl tow 0.5 to 3 hours	Released alive Trawl tow <0.5 hours	

**Note:** Availability 2 is only used when there is no information for Availability 1; the most conservative score between Encounterability 1 and 2 is used.

D3		Average Susceptibility Score			
		1.00 – 1.75	1.76 – 2.24	2.25 – 3.00	
<b>Average Productivity</b>	1.00 – 1.75	PASS	PASS	PASS	
Score	1.76 – 2.24	PASS	PASS	TABLE D4	
	2.25 – 3.00	PASS	TABLE D4	TABLE D4	

<b>D4</b>	Species Name								
	Impacts On Species Categorised as Vulnerable by D1-D3 - Minimum Requirements								
	D4.1 The potential impacts of the fishery on this species are considered during the management process, and reasonable measures are taken to minimise these impacts.								
	D4.2 There is no substantial evidence that the fishery has a significant negative impact on the species.								
Outco	ome:								
Evide	ence								
Refer	ences								
Stand	lard cla	use 1.3.2.2							